

AMENDMENTS TO THE CLAIMS

Please amend the claims as indicated in the below Listing of Claims that will replace all prior versions and listings of claims in the application.

LISTING OF CLAIMS:

1. (Currently Amended) A method of facilitating trading of financial interests over a network using a system comprising a plurality of user computers and at least one other computer, the method comprising:

the plurality of user computers receiving from the at least one computer over the via a computer network (a) terms for a proposed auction in at least one financial interest and associating with the proposed auction a an associated deadline for deciding the proposed auction and (b) terms for at least one proposed non-auction transaction in the at least one financial interest which is identified in memory accessible by the at least one computer as an entry in the proposed auction but is not disclosed to the plurality of user computers as an entry in the proposed auction prior to the deadline; and

providing, prior to the proposed auction, via the computer network information related to the proposed auction to prospective participants in the proposed auction;
receiving via the computer network terms for at least one proposed non-auction transaction in the at least one financial interest; and

identifying the proposed non-auction transaction as an entry in the proposed auction but not to the prospective participants prior to the proposed auction after the deadline, the at least one computer deciding the proposed auction with the proposed non-auction transaction as an entry if the proposed non-auction item remains an entry in the proposed auction after the deadline.

Claim 2 (Cancelled).

3. (Previously Presented) The method of claim 1, wherein the at least one financial interest comprises at least one fixed-income security.

4. (Currently Amended) The method of claim 3, wherein the at least one fixed-income security comprises at least one corporate bond.

Claims 5-37 (Cancelled).

38. (Currently Amended) A computer program product, comprising a computer program stored on a computer readable medium or media encoded with computer-readable instructions that, when executed by a processor, that causes a computer system comprising a plurality of user computers and at least one other computer to perform a method for facilitating computer trading of at least one of financial interest, the method comprising:

receiving via a computer networkproviding over the network to the plurality of user computers from the at least one computer (a) terms for a proposed auction in at least one financial interest and an associated associating with the proposed auction a deadline for deciding the proposed auction, and (b) terms for at least one proposed non-auction transaction in the at least one financial interest which is identified in memory accessible by the at least one computer as an entry in the proposed auction but is not disclosed to the plurality of user computers as an entry in the proposed auction prior to the deadline; and

providing, prior to the proposed auction, via the computer network information related to the proposed auction to prospective participants in the proposed auction;

receiving via the computer network terms for at least one proposed non-auction transaction in the at least one financial interest; and

~~identifying the proposed non-auction transaction as an entry in the proposed auction but not to the prospective participants prior to the proposed auction after the deadline, deciding the proposed auction with the non-auction transaction if the non-auction transaction remains as an entry in the proposed auction after the deadline.~~

Claims 39-42 (Cancelled).

43. (Previously Presented) The product of claim 38, wherein the at least one financial interest comprises at least one fixed-income security.

44. (Currently Amended) The product of claim 38, wherein the at least one fixed-income security comprises at least one corporate bond.

45. (New) A computerized system that facilitates trading of financial interests over a network, the system comprising a plurality of user computers, at least one other computer and a computer readable medium or media that stores a computer program that causes the computer system to:

provide over the network to the plurality of user computers from the at least one computer (a) terms for a proposed auction in at least one financial interest and an associated deadline for deciding the proposed auction, and (b) terms for at least one proposed non-auction transaction in the at least one financial interest which is identified in memory accessible by the at least one computer as an entry in the proposed auction but is not disclosed to the plurality of user computers as an entry in the proposed auction prior to the deadline; and

after the deadline, decide the proposed auction with the non-auction transaction if the non-auction transaction remains as an entry in the proposed auction after the deadline.

46. (New) The system of claim 45, wherein the at least one financial interest comprises at least one fixed-income security.

47. (New) The system of claim 46, wherein the at least one fixed-income security comprises at least one corporate bond.